

# Varieties of Cubical Sets

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# Summary

We study a variety of notions of *cubical sets* based on *substructural algebraic theories* presenting *monoidal categories*.

We explore the proof theory and homotopy theory of these cubical sets: we determine which are canonical for their language, and which are (strict) *test categories* in the sense of Grothendieck.

# Monoidal Algebraic Theories

# Structural Rules

Substructural languages let us restrict how context variables may appear in terms.

We consider the following set of **structural rules**:

- ▶ **weakening** (w) allows unused variables:  $x, y \vdash t(x)$
- ▶ **exchange** (e) allows variable order permutation:  $x, y \vdash t(y, x)$
- ▶ **contraction** (c) allows multiple use of variables:  $x \vdash t(x, x)$

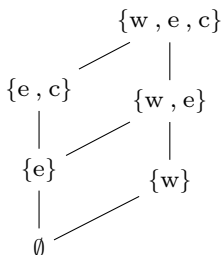
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and its subset lattice where  $c \Rightarrow e$ :



(1)

# Interpreting Structural Rules

Interpretations for our languages will be in a **monoidal category**  $(\mathcal{E}, \otimes, 1)$  with a single generating object  $X : \mathcal{E}$ .

Variable contexts are interpreted as tensor-powers of  $X$ :

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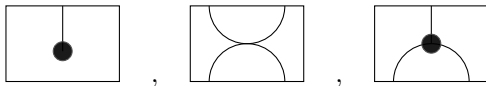
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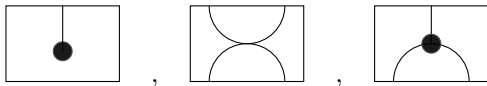
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When  $\mathcal{E}$  is *symmetric monoidal*,  $\tau$  is the braiding.

When  $\mathcal{E}$  is *cartesian monoidal*,  $\varepsilon$  the unique map to 1 and  $\delta$  is the diagonal.

# Algebraic Signatures

Our languages are all single-sorted and algebraic (co-arity one).

We consider the following set of **function symbols**:

$$\begin{array}{ll} 0, 1 & : \text{arity } 0 \\ - \vee -, - \wedge - & : \text{arity } 2 \\ -' & : \text{arity } 1 \end{array}$$

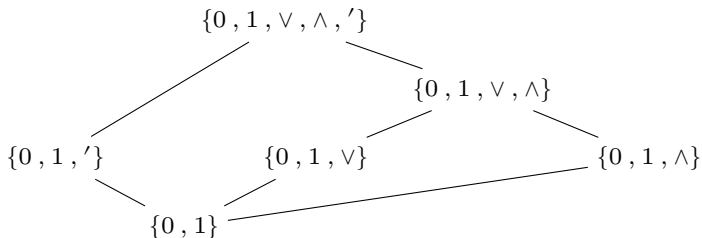
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(2)

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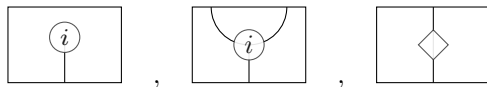
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For  $i \in \{0, 1\}$ , we can draw these as:



# Cubical Theories

## Definition (cubical language)

Let  $L_{(a,b)}$  be the language with *structural rules*  $a \subseteq$  “wec” allowed by (1) and *signature*  $b \subseteq$  “ $\vee \wedge '$ ” allowed by (2) (with 0 and 1 assumed).

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## Definition (syntactic category of a cubical theory)

For  $T$  an equational theory in a cubical language  $L_{(a,b)}$ , let  $C_{(a,b)}(T)$  be the **syntactic category** of  $T$ , with:

- ▶ morphisms generated by  $a$  and  $b$ ,
- ▶ morphism equality determined by  $T$ .

# Standard Structures

- ▶ **standard topological interval:**  $\mathbb{I} := [0, 1]$  in TOP  
with  $x \vee y = \max(x, y)$ ,  $x \wedge y = \min(x, y)$ ,  $x' = 1 - x$ ;



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with the relations above;
- ▶ **the three-element Kleene algebra:**  $\mathbb{3} := \{0, u, 1\}$  with  $u' = u$ ;
- ▶ **the four-element de Morgan algebra:**  $\mathbb{D} := \{0, u, v, 1\}$   
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$\mathbb{2}$  gives the theory of boolean algebras,

$\mathbb{3}$  gives the theory of Kleene algebras and

$\mathbb{D}$  gives the theory of de Morgan algebras. [GWW03]

# Canonical Cube Categories

## Definition

The **canonical cube category** for a language  $L_{(a,b)}$  is the syntactic category of the theory of the topological interval in  $L_{(a,b)}$ :

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## Corollary 1.3

Each of our canonical cube categories has decidable morphism equality.

# Cubical Axioms

Axiom	Lang. req.	Name
$x \vee (y \vee z) = (x \vee y) \vee z$	$(\cdot, \vee)$	$\vee$ -associativity
$0 \vee x = x = x \vee 0$	$(\cdot, \vee)$	$\vee$ -unit
$1 \vee x = 1 = x \vee 1$	$(w, \vee)$	$\vee$ -absorption
$x \vee y = y \vee x$	$(e, \vee)$	$\vee$ -symmetry
$x \vee x = x$	$(ec, \vee)$	$\vee$ -idempotence
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$x \wedge x = x$	$(ec, \wedge)$	$\wedge$ -idempotence
$x'' = x$	$(\cdot, ')$	'-involution
$0' = 1$	$(\cdot, ')$	'-computation
$x \wedge (y \vee z) = (x \wedge y) \vee (x \wedge z)$	$(ec, \vee \wedge)$	distributive law 1
$x \vee (y \wedge z) = (x \vee y) \wedge (x \vee z)$	$(ec, \vee \wedge)$	distributive law 2
$x = x \vee (x \wedge y) = x \wedge (x \vee y)$	$(wec, \vee \wedge)$	lattice-absorption
$(x \vee y)' = x' \wedge y'$	$(\cdot, \vee \wedge')$	de Morgan's law
$x \wedge x' \leq y \vee y'$	$(wec, \vee \wedge')$	Kleene's law

# Cubical Axiomatizations

The theory of each canonical cube category with weakening is axiomatized by the equations expressible in the corresponding language.

For  $L_{(\text{wec}, \wedge \vee')}$  we also have the non-canonical cube categories for :

- ▶ de Morgan algebras,  $\mathbb{C}_{\text{dM}}$ , satisfying all axioms except Kleene's law, (notable for being the basis of the type theory for a programming language [Coh+15])
- ▶ boolean algebras,  $\mathbb{C}_{\text{BA}}$ , additionally satisfying *excluded middle*:  
 $x \vee x' = 1$ .



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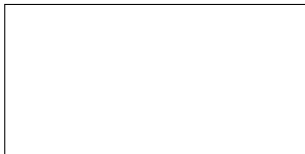
For each cube category  $\mathbb{C}$ , we write “[ $n$ ]” for  $X^{\otimes n}$  ( $= \llbracket x_1, \dots, x_n \rrbracket$ ).

# $n$ -Dimensional Cubes

0-dimensional cube (point):



$$[0] = \{ \cdot \}$$

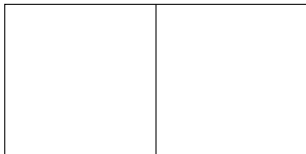


# $n$ -Dimensional Cubes

1-dimensional cube (interval):

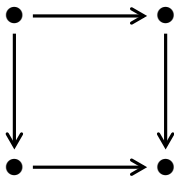


$$[1] = \llbracket x \rrbracket$$

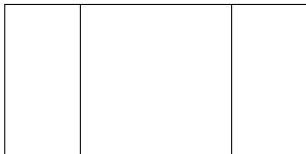


# $n$ -Dimensional Cubes

2-dimensional cube (square):

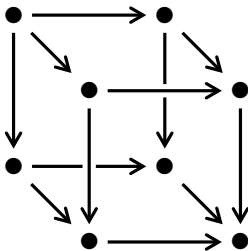


$$[2] = \llbracket x, y \rrbracket$$

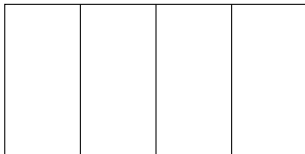


# $n$ -Dimensional Cubes

3-dimensional cube (cube):



$$[3] = \llbracket x, y, z \rrbracket$$



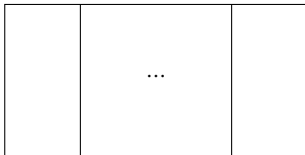


# $n$ -Dimensional Cubes

$n$ -dimensional cube:

???

$$[n] = \llbracket x_1, \dots, x_n \rrbracket$$



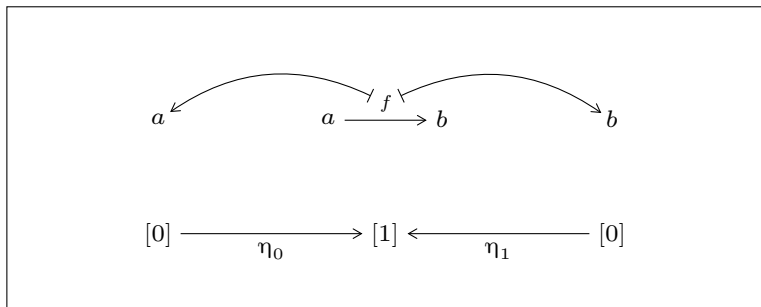
# Cubical Sets

A **cubical set** is a presheaf on a cube category (i.e. a functor  $X : \mathbb{C}^{\circ} \rightarrow \text{SET}$ ):

- ▶ an object  $[n] : \mathbb{C}$  determines a set of  $n$ -cubes,
- ▶ an arrow  $\varphi : \mathbb{C}([n] \rightarrow [m])$  determines a function  $X(\varphi)$  from  $m$ -cubes to  $n$ -cubes.

# Cube Faces

In the canonical cube category  $\mathbb{C}_{(\cdot, \cdot)}$ , the  $\eta_i$  generate the **face maps**:



# Cubes with Degeneracies

The map  $\varepsilon$  generates **degeneracies**.

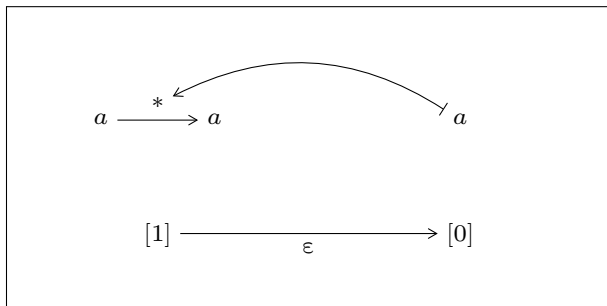
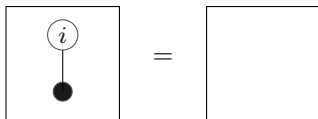
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This gives the **face-degeneracy laws**:



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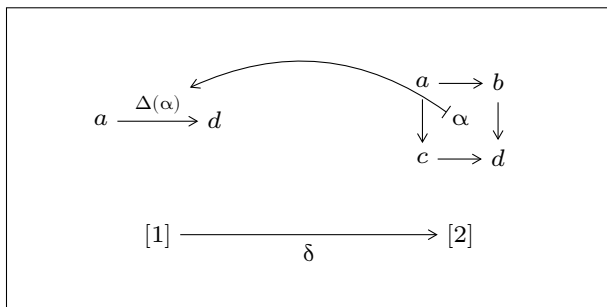
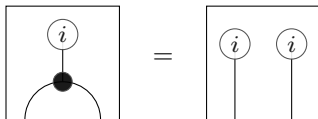
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and  $\delta$  interacts with the  $\eta_i$  by the **face-diagonal laws**:



# Cubes with Reversals

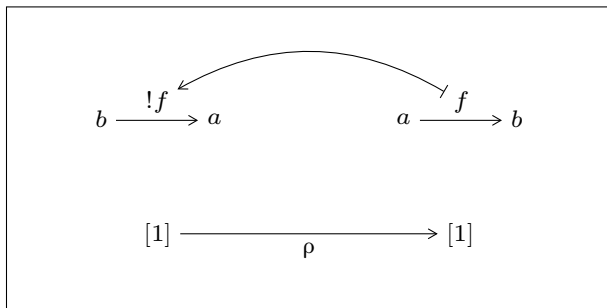
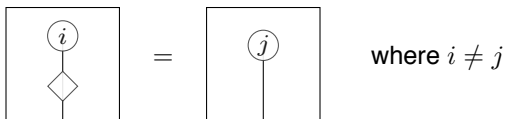
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# Cubes with Connections

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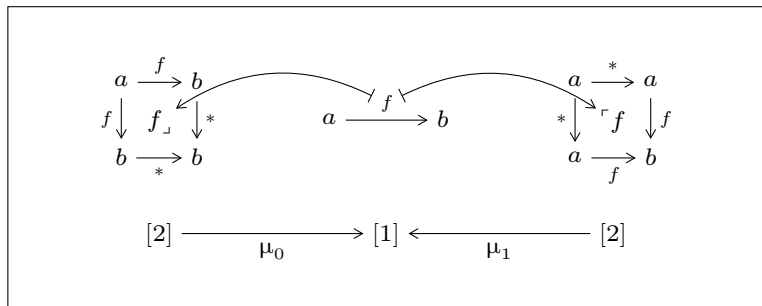
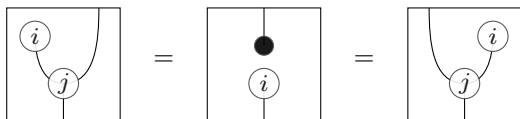
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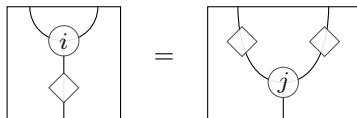
In the canonical cube category  $\mathbb{C}_{(w, \vee, \wedge)}$ , the maps  $(\mu_i, \eta_i)$  form a **monoid**.

Each  $\eta_i$  is an absorbing element for  $\mu_j$  ( $i \neq j$ ), giving the **dioid laws** [GM03]:

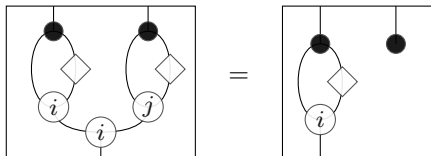


# The Full Signature

In the canonical cube category  $\mathbb{C}_{(\cdot, \vee, \wedge')}$ , reversal interacts with connections by the **de Morgan law**:



And in the canonical cube category  $\mathbb{C}_{(\text{wec}, \vee, \wedge')}$ , by the algebraic characterization of order in a lattice, we have the **Kleene law**:



# Homotopy of Cubes

# Classical Homotopy

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The classical **homotopy category** is formed by formally inverting (localizing at) the (weak) homotopy equivalences:

$$\text{TOP} \mapsto \text{HOT} = \text{Ho}(\text{TOP}) = \text{TOP}[\mathcal{W}^{-1}]$$

# Synthetic Homotopy

For any small category  $\mathbb{C}$ , the slice functor,  $\mathbb{C}_{/-} : \mathbb{C} \rightarrow \mathbf{CAT}$  uniquely determines an adjunction:

$$\begin{array}{ccc} & \mathbb{C} & \\ y \swarrow & & \searrow \mathbb{C}_{/-} \\ \mathbb{C}^\circ \supset \mathbf{SET} = \hat{\mathbb{C}} & \int_{\mathbb{C}} & \mathbf{CAT} \\ \mathcal{N}_{\mathbb{C}} \swarrow & \perp & \searrow \end{array}$$

where  $\int_{\mathbb{C}}$  gives the **category of elements** of a presheaf,  
and  $\mathcal{N}_{\mathbb{C}}$  is the **nerve** functor:  $\mathcal{N}_{\mathbb{C}}(\mathbb{D})(A) = \mathbf{CAT}(\mathbb{C}_{/A} \rightarrow \mathbb{D})$ .



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Via simplicial sets, the category  $\mathbf{CAT}$  also presents the homotopy category  $\mathbf{HOT}$ .

Grothendieck showed this permits the study of **synthetic homotopy** for the category of presheaves over any small category. [Gro83]

# Test Categories

- ▶  $\mathbb{C}$  is a **weak test category** if the adjunction extends to an adjoint equivalence on the localizations:

$$\begin{array}{ccc} \hat{\mathbb{C}} & \begin{array}{c} \xrightarrow{J_{\mathbb{C}}} \\ \perp \\ \xleftarrow{\mathcal{N}_{\mathbb{C}}} \end{array} & \text{CAT} \\ \downarrow & & \downarrow \\ \hat{\mathbb{C}}[\mathcal{W}_{\mathbb{C}}^{-1}] & \begin{array}{c} \xrightarrow{\perp \sim} \\ \perp \sim \\ \xleftarrow{\perp \sim} \end{array} & \text{CAT}[\mathcal{W}_{\text{CAT}}^{-1}] \cong \text{HOT} \end{array}$$

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$$\begin{array}{ccc} \hat{\mathbb{C}} & \begin{array}{c} \xrightarrow{J_{\mathbb{C}}} \\ \perp \\ \xleftarrow{\mathcal{N}_{\mathbb{C}}} \end{array} & \text{CAT} \\ \downarrow & & \downarrow \\ \hat{\mathbb{C}}[\mathcal{W}_{\mathbb{C}}^{-1}] & \begin{array}{c} \xrightarrow{\perp \sim} \\ \perp \sim \\ \xleftarrow{\perp \sim} \end{array} & \text{CAT}[\mathcal{W}_{\text{CAT}}^{-1}] \cong \text{HOT} \end{array}$$

- ▶  $\mathbb{C}$  is a **test category** if it, and each of its slices, is weak test.
- ▶  $\mathbb{C}$  is a **strict test category** if it is test and the functor  $\hat{\mathbb{C}} \rightarrow \text{HOT}$  preserves finite products.

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Idea: presheaves of test categories have “the right homotopy”, which is preserved under products for strict test categories.

# Canonical Cube Test Categories

## Theorem 3.1

- ▶ The canonical cube categories for theories with the structural rule of weakening are test categories.

$a \backslash b$	$\cdot$	$'$	$\vee$	$\wedge$	$\vee \wedge$	$\vee \wedge'$
w	t	t	t	t	t	t
we	t	t	t	t	t	t
wec	t	t	t	t	t	t

Which canonical cube categories  $\mathbb{C}_{(a,b)}$  are test (t) or even strict test (st).

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$a \backslash b$	$\cdot$	$'$	$\vee$	$\wedge$	$\vee \wedge$	$\vee \wedge'$
w	t	t	st	st	st	st
we	t	t	st	st	st	st
wec	st	st	st	st	st	st/st/st

The bottom-right corner refers to the cube categories for de Morgan, Kleene and boolean algebras.

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w	t	t	st	st	st	st
we	t	t	st	st	st	st
wec	st	st	st	st	st	st/st/st

Upshot: having either the diagonal or a connection suffices for strict test.

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